# CRDTs

# From sequential to concurrent executions

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Code Mesh London, November 8th 2018









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# The speed of communication in the 19th century W. H. Harrison's death



"At 12:30 am on April 4th, 1841 President William Henry Harrison died of pneumonia just a month after taking office. The Richmond Enquirer published the news of his death two days later on April 6th. The North-Carolina standard newspaper published it on April 14th. His death wasn't known of in Los Angeles until July 23rd, 110 days after it had occurred."

Text by Zack Bloom, A Quick History of Digital Communication Before the Internet. https://eager.io/blog/communication-pre-internet/ Picture by By Albert Sands Southworth and Josiah Johnson Hawes

# The speed of communication in the 19th century Francis Galton Isochronic Map



# The speed of communication in the 21st century RTT data gathered via http://www.azurespeed.com



# The speed of communication in the 21st century If you really like high latencies ...

#### Time delay between Mars and Earth

blogs.esa.int/mex/2012/08/05/time-delay-between-mars-and-earth/



# Delay/Disruption Tolerant Networking www.nasa.gov/content/dtn

# • $\lambda$ , up to 50ms (local region DC)

■ A, between 100ms and 300ms (inter-continental)

#### No inter-DC replication

Client writes observe  $\lambda$  latency

#### Planet-wide geo-replication

Replication techniques versus client side write latency ranges

Consensus/Paxos  $[\Lambda, 2\Lambda]$ Primary-Backup  $[\lambda, \Lambda]$ Multi-Master  $\lambda$ 

(with no divergence)
(asynchronous/lazy)
(allowing divergence)

# EC and CAP for Geo-Replication

#### Eventually Consistent. CACM 2009, Werner Vogels

- In an ideal world there would be only one consistency model: when an update is made all observers would see that update.
- Building reliable distributed systems at a worldwide scale demands trade-offs between consistency and availability.

#### CAP theorem. PODC 2000, Eric Brewer

Of three properties of shared-data systems – data consistency, system availability, and tolerance to network partition – only two can be achieved at any given time.

CRDTs provide support for partition-tolerant high availability

## Consensus provides illusion of a single replica

This also preserves (slow) sequential behaviour

Ops O	$o \longrightarrow p \longrightarrow q$	
Time		

We have an ordered set (O, <).  $O = \{o, p, q\}$  and o

Consensus provides illusion of a single replica

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Sequential e	execution
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$\longrightarrow p \longrightarrow q$

Time	_	—	—	—	—	—	—	≻

We have an ordered set (O, <).  $O = \{o, p, q\}$  and o

# EC Multi-master (or active-active) can expose concurrency



Partially ordered set  $(O, \prec)$ .  $o \prec p \prec q \prec r$  and  $o \prec s \prec r$ Some ops in O are concurrent:  $p \parallel s$  and  $q \parallel s$  A partially ordered log (polog) of operations implements any CRDT Replicas keep increasing local views of an evolving distributed polog Any query, at replica *i*, can be expressed from local polog  $O_i$ Example: Counter at *i* is  $|\{inc | inc \in O_i\}| - |\{dec | dec \in O_i\}|$ CRDTs are efficient representations that follow some general rules

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# Principle of permutation equivalence

If operations in sequence can commute, preserving a given result, then under concurrency they should preserve the same result

#### Sequential

$$inc(10) \longrightarrow inc(35) \longrightarrow dec(5) \longrightarrow inc(2)$$
  
 $dec(5) \longrightarrow inc(2) \longrightarrow inc(10) \longrightarrow inc(35)$ 

#### Concurrent



#### You guessed: Result is 42

## Implementing Counters Example: CRDT PNCounters



Lets track total number of incs and decs done at each replica

$$\{A(incs, decs), \ldots, C(\ldots, \ldots)\}$$

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Separate positive and negative counts are kept per replica



Joining does point-wise maximums among entries (semilattice)

At any time, counter value is sum of incs minus sum of decs

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#### Registers are an ordered set of write operations

Sequential execution

$$A \qquad \operatorname{wr}(x) \longrightarrow \operatorname{wr}(j) \longrightarrow \operatorname{wr}(k) \longrightarrow \operatorname{wr}(x)$$

### Sequential execution under distribution

$$\begin{array}{ccc} A & wr(x) & wr(x) \\ B & wr(j) \longrightarrow wr(k) \end{array}$$

Register value is x, the last written value

# CRDT register implemented by attaching local wall-clock times



Problem: Wall-clock on B is one hour ahead of A

Value x might not be writeable again at A since 12:05 > 11:30

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# Register shows value v at replica i iff

$$wr(v) \in O_i$$

and

$$\nexists \mathsf{wr}(v') \in O_i \cdot \mathsf{wr}(v) < \mathsf{wr}(v')$$

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Concurrent semantics should preserve the sequential semantics

This also ensures correct sequential execution under distribution

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Concurrency semantics shows all concurrent values

$$\{v \mid \mathsf{wr}(v) \in O_i \land \nexists \mathsf{wr}(v') \in O_i \cdot \mathsf{wr}(v) \prec \mathsf{wr}(v')\}$$



Dynamo shopping carts are multi-value registers with payload sets

The m value could be an application level merge of values y and k

#### Concurrency can be preciselly tracked with version vectors

Concurrent execution (version vectors)

$$A \qquad [1,0]x \longrightarrow [2,0]y \longrightarrow [2,0]y, [1,2]k \longrightarrow [3,2]m$$
$$B \qquad [1,1]j \longrightarrow [1,2]k$$

Metadata can be compressed with a common causal context and a single scalar per value (dotted version vectors)

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Multi-value registers allows executions leading to concurrent values

Presenting concurrent values is at odds with the sequential API

Redis CRDB both tracks causality and registers wall-clock times

Querying uses Last-Writer-Wins selection among concurrent values

This preserves correctness of sequential semantics

A value with clock 12:05 can still be causally overwritten at 11:30

 $X = \{\ldots\}, \ \mathsf{add}(\mathsf{a}) \longrightarrow \mathsf{add}(\mathsf{c}) \ \mathrm{we \ observe \ that} \ \mathsf{a}, \mathsf{c} \in \mathsf{X}$ 

 $X = \{\ldots\}, \text{ add}(c) \longrightarrow \mathsf{rmv}(c) \text{ we observe that } c \not\in X$ In general, given  $O_i$ , the set has elements

 $\{e \mid \mathsf{add}(e) \in \mathsf{O}_i \land \nexists \mathsf{rmv}(e) \in \mathsf{O}_i \cdot \mathsf{add}(e) < \mathsf{rmv}(e)\}$ 

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# Problem: Concurrently adding and removing the same element



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Let's choose Add-Wins

Consider a set of known operations  $O_i$ , at node *i*, that is ordered by an *happens-before* partial order  $\prec$ . Set has elements

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Let's choose Add-Wins

Consider a set of known operations  $O_i$ , at node *i*, that is ordered by an *happens-before* partial order  $\prec$ . Set has elements

$$\{e \mid \mathsf{add}(e) \in \mathsf{O}_i \ \land \nexists \mathsf{rmv}(e) \in \mathsf{O}_i \cdot \mathsf{add}(e) \prec \mathsf{rmv}(e)\}$$

Is this familiar?

The sequential semantics applies identical rules on a total order

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Is this familiar?

The sequential semantics applies identical rules on a total order

# Equivalence to a sequential execution? Add-Wins Sets

Can we always explain a concurrent execution by a sequential one?

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### Concurrent execution

$$A \quad \{x, y\} \longrightarrow \mathsf{add}(y) \longrightarrow \mathsf{rmv}(x) \longrightarrow \{y\} \longrightarrow \{x, y\}$$
$$B \quad \{x, y\} \longrightarrow \mathsf{add}(x) \longrightarrow \mathsf{rmv}(y) \longrightarrow \{x\} \longrightarrow \{x, y\}$$

## Two (failed) sequential explanations

$$H1 \qquad \{x, y\} \longrightarrow \ldots \longrightarrow \mathsf{rmv}(x) \longrightarrow \{\not x, y\}$$

$$H2 \qquad \{x, y\} \longrightarrow \ldots \longrightarrow \mathsf{rmv}(y) \longrightarrow \{x, \not y\}$$

Concurrent executions can have richer outcomes

### Alternative: Let's choose Remove-Wins

# $X_i \doteq \{e \mid \mathsf{add}(e) \in \mathsf{O}_i \ \land \forall \ \mathsf{rmv}(e) \in \mathsf{O}_i \ \cdot \,\mathsf{rmv}(e) \prec \mathsf{add}(e)\}$

Remove-Wins requires more metadata than Add-Wins

Both Add and Remove-Wins have same semantics in a total order

They are different but both preserve sequential semantics

Alternative: Let's choose Remove-Wins

$$X_i \doteq \{e \mid \mathsf{add}(e) \in \mathsf{O}_i \land \forall \mathsf{rmv}(e) \in \mathsf{O}_i \cdot \mathsf{rmv}(e) \prec \mathsf{add}(e)\}$$

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### Design freedom is limited by preservation of sequential semantics

### Delaying choice of semantics to query time

A CRDT Set data type could store enough information to allow a parametrized query that shows either Add-Wins or Remove-Wins

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This flexibility might have a metadata cost

Implementation styles

- State-based: Full state dissemination; merging of replicas
  - Alternative: Disseminate small state deltas,  $\delta$ -states
  - States can be merged multiple times
- Operation-based: Reliable dissemination; known membership

Operations applied only once

Infrastructure

- Datatype libraries + Dissemination/Gossip Middleware
- Databases with rich APIs and CRDT merge logic

Use-case	Company/Project	CRDT model
Distributed Applications	Akka	$\delta$ State-based
Distributed Applications	Lasp	$\delta$ State-based
Distributed Applications	Eventuate	Op-based
P2P Collaborative Editing	IPFS	Op-based
Distributed DB	Riak	State-based
Distributed DB	Redis	Both
Distributed DB	Hazelcast	State-based
Dist. DB, HAT transactions	Antidote	Op-based

- Concurrent executions are needed to deal with latency
- Behaviour changes when moving from sequential to concurrent

Road to accommodate transition:

- Permutation equivalence
- Preserving sequential semantics
- Concurrent executions lead to richer outcomes

CRDTs provide sound guidelines and encode policies

# Thanks and Questions

#### Reference

Conflict-Free Replicated Data Types. N. Preguiça, M. Shapiro, C. Baquero. Encyclopedia of Big Data Technologies, Springer Verlag

Thanks to LightKone (https://www.lightkone.eu) for support, Redis Labs (https://redislabs.com) for their support and inputs on an early version, and my colleagues for early feedback

Glad to address any questions

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